

Attorney's Docket No: B00192/70035 (JRV)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David ROBERTS et al.
U.S. Serial No. : 10/019,580
International Application No. : PCT/GB00/02546
International Filing Date : 30 June 2000 (30.06.00)
Earliest Priority Date : 30 June 1999 (30.06.99)
Title : TREATMENT OF DENDRITIC CELLS FOR
INDUCTION OF IMMUNE TOLERANCE

Commissioner for Patents
Box PCT
Washington, DC 20231

Sir:

Transmitted herewith are the following documents:

- Second Preliminary Amendment
- Substitute Sequence Listing – paper copy w/identical computer readable diskette
- Statement Under 37 CFR 1.821(f) and 1.825(a) and (b)
- Copy of Notice of Defective Response
- Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

No check is enclosed. If a fee is determined to be required, please charge the fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,


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Attorney's Docket No. B00192/70035
Dated: September 12, 2002
x9/30/02

JRV



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
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|-------------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO. | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 10/019,580 | David ROBERTS | B0192/7035 |
| INTERNATIONAL APPLICATION NO. | | |
| PCT/GB00/02546 | | |
| I.A. FILING DATE | | PRIORITY DATE |
| 06/30/2000 | | 06/30/1999 |
| CONFIRMATION NO. 8724 | | |
| 371 FORMALITIES LETTER | | |
| *OC00000008709804* | | |

Date Mailed: 08/30/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

DOCKETED
SEP 09 2002

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|------------------|---------|----------|
| File Folder | 9-3002 | Initials |
| ECB | | |
| Docket Entry | (NO EX) | |
| Docket Cross Off | | |
| Order Copies | | |
| Annuities | | |
| Confirmation | | |

Mu Reg dtd 09/03/02 pfd/bt/00

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - The computer readable form that has been filed with this application has been found to be damaged and/or

unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

- see the attached error report
- **APPLICANT MUST PROVIDE:**

- An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
- The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FRANCINE YOUNG

Telephone: (703) 305-3662

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/019,580 | PCT/GB00/02546 | B0192/7035 |

FORM PCT/DO/EO/916 (371 Formalities Notice)